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Atty. Dkt. No. 070191-0335 (112018)

MP

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Nielsen et al.

Title: PATIENT MONITOR AND  
METHOD WITH NON-INVASIVE  
CARDIAL OUTPUT MONITORING

Appl. No.: 10/034,351

Filing Date: 12/20/2001

Examiner: To be determined

Art Unit: 3762

<p><b>CERTIFICATE OF MAILING</b></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below.</p> <p><u>Karen Meyer</u> (Printed Name)</p> <p><u>Karen Meyer</u> (Signature)</p> <p><u>April 1, 2002</u> (Date of Deposit)</p>
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**TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION  
AND RELATED PAPERS**

**Box MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed on February 1, 2002, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- ☒ [ X ] Return Copy of Notice to File Missing Parts (1 page)
- ☒ [ X ] Executed Declaration and Power of Attorney (4 pages)

Also enclosed are:

- ☒ [ X ] Recordation Form Cover Sheet (1 page)
- ☒ [ X ] Executed Assignment of the Invention to GE Medical Systems Information Technologies, Inc. (3 pages)
- ☒ [ X ] Preliminary Amendment with attached "Version With Markings to Show Changes Made" (12 pages)

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$740.00	\$740.00
Total Claims:	39	- 20	= 19	x \$18.00	= \$342.00
Independents:	5	- 3	= 2	x \$84.00	= \$168.00
If any Multiple Dependent Claim(s) present:				+ \$280.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee				+ \$130.00	= \$130.00
				SUBTOTAL:	= \$1380.00
[ ] Small Entity Fees Apply (subtract ½ of above):					= \$0.00
				TOTAL FILING FEE:	= \$1,380.00
				TOTAL FEE	= \$1,380.00
Difference to pay:				- \$0.00	- \$1,380.00

- [ X ] Please charge Deposit Account No. 07-0845 in the amount of \$1,380.00  
(Basic filing fee for a non-small entity (37 C.F.R. § 1.27), 19 total claims in excess of 20, 2 independent claims in excess of 3, and surcharge fee (37 C.F.R. § 1.16(e)). A duplicate copy of this transmittal is enclosed.
- [ X ] Please charge Deposit Account No. 07-0845 in the amount of \$40.00  
(Assignment recordation fee). A duplicate copy of this transmittal is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 07-0845.

Respectfully submitted,

Date

4/1/02

By

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/034,351	12/20/2001	Eric Nielsen	070191-0335 (112018)

26371  
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CONFIRMATION NO. 8396

## FORMALITIES LETTER



\*OC000000007404947\*

Date Mailed: 02/01/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$510.
  - \$342 for 19 total claims over 20.
  - \$168 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1380.**

*A copy of this notice **MUST** be returned with the reply.*

*Haiman Tegbaru*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/15/2002 BABRAHA1 00000018 070845 10034351

01 FC:101	740.00 CH
02 FC:105	130.00 CH
03 FC:103	342.00 CH
04 FC:102	168.00 CH